Attorney Ticket No. Y0999-426

Date: December 28, 1999

I hereby certify that this paper is being deposited on this date with U.S. Postal Service as first class mail addressed to the Assistan

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APPLICANT(S):

C.J. Chen et al.

**SERIAL NO.:** 

09/437,971

FILED:

November 10, 1999

FOR:

METHODS AND APPARATUS FOR SEMANTIC

UNIT BASED AUTOMATIC INDEXING AND SEARCHING IN DATA ARCHIVE SYSTEMS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patents

U.S. Patent No. 5,794,249 issued on 08/11/98 to Orsolini et al.

U.S. Patent No. 5,649,060 issued on 07/15/97 to Ellozy et al.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

William E. Lewis Reg. No. 39,274

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